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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Darrell Lee Ash  
U.S. Serial No. : 09/801,452  
Filed : March 8, 2001  
For : NOISE RESISTANT LOW PHASE NOISE, FREQUENCY  
TRACKING OSCILLATORS AND METHODS OF OPERATING  
THE SAME  
Group No. : 2817  
Examiner : A.M. Kinkhead

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**MAIL STOP RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. Certain of these references were located during the European Search Reports issued in the related European Patent Application Nos. EP 02 25 1661 and

EP 02 25 1662, copies of which are attached hereto. Copies of the listed references are submitted herewith.

U.S. Patents

| <u>U.S. PATENT NO.</u> | <u>INVENTOR</u> | <u>DATE</u> |
|------------------------|-----------------|-------------|
| 4,581,592              | Bennett         | 04/08/1986  |

Foreign Patents

| <u>PATENT NO.</u> | <u>COUNTRY</u> | <u>DATE</u> |
|-------------------|----------------|-------------|
| EP 0 386 498      | EPO            | 09/12/1990  |
| GB 2 047 491      | United Kingdom | 11/26/1980  |
| DE 196 34 622     | Germany        | 03/12/1998  |

Publications

SCHMITT, R.F., et al: "DESIGNING AN EMC-COMPLIANT UHF OSCILLATOR," RF Design Cardiff Publishing Co., Englewood, CO, U.S., Vol. 23, NR. 10, Pages 40, 42, 44, 46, 48, 50, 52, 54, XP001025221, ISSN: 0163-321X

"APPLICATIONS DES RESONATEURS A ONDES DE SURFACE;" Electronique Radio Plans, SPE, Paris, France, 564; XP000477719; pages 87-95

PARKER, T. E., et al: "PRECISION SURFACE-ACOUSTIC-WAVE (SAW) OSCILLATORS", IEEE Transactions on Ultrasonics, Ferroelectrics and Frequency Control, IEEE Inc., New York, U.S., Vol. 35, NR. 3, Pages 342-363, XP000047422, ISSN: 0885-3010

ROHDE, U.L.: "DESIGNING SAW RESONATORS AND DRO OSCILLATORS USING NONLINEAR CAD TOOLS", Frequency Control Symposium, 1995; 49<sup>th</sup>, Proceedings of the 1995 IEEE International San Francisco, CA, U.S.A. 31 US, Pages 379-396, XP010155195, ISBN: 0-7803-2500-1

WESSENDORF, K, et al: "OSCILLATOR DESIGN TECHNIQUES ALLOW HIGH-FREQUENCY APPLICATIONS", RF Design, Cardiff Publishing Co., Englewood, CO, U.S. Vol. 21, NR. 3, Pages 38, 40, 42, 44, XP000755034, ISSN: 0163-321X

GONDA, J., et al.: "A WIDE PULL RANGE HYBRID VCSO FOR OPTICAL TRANSMISSION NETWORKS," Pages 59-63, XP010090597

DRISCOLL, M.M.: "LINEAR FREQUENCY TUNING OF SAW RESONATORS," Pages 191-194, XP010090619

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

In accordance with 37 C.F.R. § 1.97 (e), the undersigned certifies that no item of information cited in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

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